



PATENT  
Attorney Docket No. BKE-002CP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4  
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FEB 04 2002  
Technology Center 2100

APPLICANT(S): Arkin et al.  
SERIAL NO.: 09/977,863 GROUP NO.: 2152  
FILING DATE: October 15, 2001 EXAMINER: Not Yet Assigned  
TITLE: METHOD AND SYSTEM FOR PREVENTING THE  
INFRINGEMENT OF INTELLECTUAL PROPERTY RIGHTS

Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

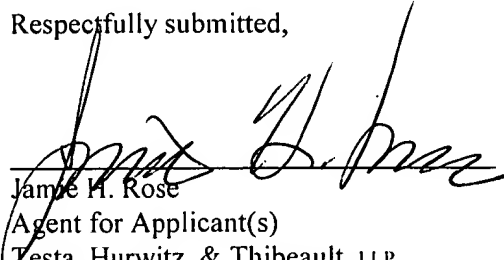
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

  
Jamie H. Rose  
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Date: January 17, 2002  
Reg. No. 45,054

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FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT				ATTORNEY DOCKET NO.: BKE-002CP APPLICANT(S): Arkin et al. SERIAL NO.: 09/977,863 FILING DATE: October 15, 2001 GROUP: 2152					
U.S. PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
	A1	5,440,723	08/08/95	Arnold et al.					
	A2	5,471,615	11/28/95	Amatsu et al.					
	A3	5,787,068	07/28/98	Arps et al.					
	A4	5,790,236	08/04/98	Hershtik et al.					
	A5	5,799,325	08/25/98	Rivette et al.					
	A6	5,867,579	02/02/99	Saito					
	A7	5,873,085	02/16/99	Enoki et al.					
	A8	5,892,825	04/06/99	Mages et al.					
	A9	5,923,763	07/13/99	Walker et al.					
	A10	5,935,246	08/10/99	Benson					
	A11	5,937,066	08/10/99	Gennaro et al.					
	A12	5,940,516	08/17/99	Mason et al.					
	A13	5,987,126	11/16/99	Okuyama et al.					
	A14	6,005,839	12/21/99	Sako et al.					
	A15	6,047,242	04/04/00	Benson					
	A16	6,073,123	06/06/00	Staley					
	A17	6,088,706	07/11/00	Hild					
	A18	6,119,108	09/12/00	Holmes et al.					
	A19	6,173,446	01/09/01	Khan et al.					
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
EXAMINER					DATE CONSIDERED				



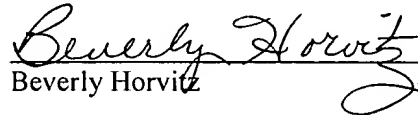
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TITLE: METHOD AND SYSTEM FOR PREVENTING THE  
INFRINGEMENT OF INTELLECTUAL PROPERTY RIGHTS

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on this 22nd day of January, 2002.

  
Beverly Horvitz

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 page)
2. Information Disclosure Statement (2 pages)
3. Form PTO-1449 (1 page)
4. Copies of IDS Citations (Refs. A1-A19)
5. Return Receipt Postcard

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FEB 04 2002

Technology Center 2100

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# TRANSMITTAL FORM

Application Serial Number	09/977,863
Filing Date	October 15, 2001
First Named Inventor	Arkin
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket No.	BKE-002CP
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

GP/2152  
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## ENCLOSURES (check all that apply)

- |   |   |  |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form   | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)   | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> Preliminary<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] | <input type="checkbox"/> Formal Drawing(s)  | <input type="checkbox"/> Appeal Brief (in triplicate)                                      |
| <input type="checkbox"/> Petition for Extension of Time   | <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal  | <input type="checkbox"/> Status Inquiry  |
| <input checked="" type="checkbox"/> Information Disclosure Statement<br><input checked="" type="checkbox"/> Form PTO-1449<br><input checked="" type="checkbox"/> Copies of IDS Citations (Refs. A1-A19)   | <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)   | <input checked="" type="checkbox"/> Return Receipt Postcard                                |
| <input type="checkbox"/> Certified Copy of Priority Document(s)   | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 |
| <input type="checkbox"/> Sequence Listing submission<br><input type="checkbox"/> Paper Copy/CD<br><input type="checkbox"/> Computer Readable Copy<br><input type="checkbox"/> Statement verifying identity of above   | <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application                        | <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8         |
|   | <input type="checkbox"/> Small Entity Statement   | <input type="checkbox"/> Additional Enclosure(s) (please identify below)                   |
|   | <input type="checkbox"/> CD(s) for large table or computer program  |  |
|   | <input type="checkbox"/> Amendment After Allowance  |  |
|   | <input type="checkbox"/> Request for Certificate of Correction<br><input type="checkbox"/> Certificate of Correction (in duplicate) |  |

## CORRESPONDENCE ADDRESS

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## SIGNATURE BLOCK

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